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March 31, 1994

FEDERAL COMMUNICATIONS COMMISSION OFFICE OF THE SECRETARY

Mr. William F. Caton, Secretary Federal Communications Commission 1919 M Street, N.W., Room 500 Washington, D.C. 20554

Re:

Broadband PCS Pioneer's Preferences

Gen. Docket No. 90-314

Dear Mr. Caton:

On behalf of Cox Enterprises, Inc. ("Cox"), this will respond to the letter of March 16, 1994, submitted in the abovecaptioned proceeding by Bell Atlantic Personal Communications, Inc., on behalf of the Bell Atlantic Companies ("Bell Atlantic"). In its letter, Bell Atlantic objects to the Commission's February 25, 1994, Public Notice which invited the three companies awarded PCS pioneer's preferences (Cox, American Personal Communications and Omnipoint Communications) to file applications and specified the requirements for such filings.

Bell Atlantic's letter is nothing more than a transparent attempt to thwart the introduction of a new service which would compete with its established telephone monopoly. letter contains nothing to warrant extraordinary Commission action withdrawing its February Notice.

Bell Atlantic Has No Standing 1.

Cox's PCS services will be provided within the Los Angeles-San Diego MTA. Bell Atlantic operates on the other side of the country, in New Jersey, Pennsylvania, West Virginia, Maryland, Virginia, and the District of Columbia and has cellular

Public Notice, "Commission Invites Filing of Broadband Personal Communications Service Pioneer's Preference Application," (released February 25, 1994) ("February Notice"). See Third Report and Order, Gen. Docket No. 90-314, FCC 93-550 (released February 3, 1994) ("Third Report").

operations in these areas and several others, but no cellular presence in southern California. Bell Atlantic has failed to demonstrate how it will directly compete with Cox's PCS service. Accordingly, Bell Atlantic lacks standing to protest the <u>February Notice</u> insofar as it relates to Cox's proposed PCS operations. <u>See FCC v. Sanders Bros. Radio Station</u>, 309 U.S. 470 (1940).

2. The Pendency of Petitions for Reconsideration Does not Preclude Pioneer's Preference Holders' Applications

Ignoring Congress' express direction that the Commission act promptly to facilitate implementation of PCS, Bell Atlantic argues that the PCS application process cannot begin until all PCS rules are final and no longer subject to reconsideration. Its various arguments have no basis in either law or policy and only serve to advance Bell Atlantic's dilatory purpose.

Effective Date. Bell Atlantic claims that the pioneer's preference is an exercise of Commission rulemaking authority and that, as such, the PCS preferences will not be effective until March 30, 1994. The Commission need not resolve the question whether PCS pioneer's preferences are rulemaking or adjudicatory decisions here, however, because Cox does not intend to file its PCS application until after March 30, 1994, the date on which Bell Atlantic itself concedes that pioneer's preferences will be effective. In any event, the Commission has the authority to accept the applications it has invited.

Need for Processing Rules. Bell Atlantic argues that no PCS applications may be filed unless final PCS processing rules are in place. However, the <u>February Notice</u> specifically dealt with that issue, recognizing that such rules are under

^{2/} Section 6002(d)(2) of the Omnibus Budget Reconciliation Act of 1993, Pub. L. No. 103-66, Title VI, 107 Stat. 379, 396-397 (1993) requires the Commission to commence issuing PCS licenses and permits by May 7, 1994.

^{3/} It should be noted that Adams Telcom, Inc. v. FCC, 997 F.2d 955 (D.C. Cir. 1993) did not hold that grants of pioneer's preferences (as opposed to the pioneer's preference rules) were necessarily the regulatory equivalent of rules. Rather, the court held that the Commission's order being reviewed failed to give petitioners adequate notice of its adjudicatory characteristics for purposes of establishing the deadline for seeking judicial review. Indeed, in Adams Telcom, Inc., the Commission contended that pioneer's preference decisions were adjudicative and non-rulemaking in nature.

consideration in PP Docket No. 93-253, and stating that if the rules adopted therein "...require different fees or application forms than those filed, these applicants may be required to amend the applications accordingly." In other words, the Commission has already addressed and resolved an issue which Bell Atlantic seeks to transform into an insurmountable obstacle to the mere filing of applications.

Finally, and most significantly, the <u>February Notice</u> expressly provides for petitions to deny. The Commission has thus ensured that interested parties may raise issues which may be associated with PCS pioneer's preference applications. Bell Atlantic's procedural objections should not be allowed to obfuscate the critical fact that there will be full opportunity for the Commission to consider and resolve substantive public interest issues prior to authorization of PCS service.

Need for Service Rules. Bell Atlantic also argues that the pendency of petitions for reconsideration of the PCS service rules mandates deferral of the application process. It is well established, however, that the pendency of petitions for reconsideration does not automatically stay the effect of a Commission decision; rather, a special order is required. To delay the filing of applications merely because of the possibility that the PCS rules might be reconsidered to some degree would be to assume the validity of the reconsideration petitions' claims. The proper course is the course the Commission has adopted: to invite the filing of applications, on condition that they be modified as necessary to reflect the results of reconsideration.

In fact, were the Commission to take the approach suggested by Bell Atlantic, no new services would ever move forward from proposal to license to public service offering. Any entrenched competitor could bog down the process indefinitely by filing an endless series of reconsideration petitions. The

^{4/} As noted above, however, Bell Atlantic has failed to demonstrate that it has standing to petition to deny Cox's PCS application.

^{5/ 47} C.F.R. § 1.106(n); see, e.g., Blue Ridge Cable Television, Inc., 57 FCC 2d 1006 (1976); Houston Mobilfone, Inc., 33 RR 2d 1015 (1975).

^{6/} See Third Report at ¶ 2 ("Should either PCS channel blocks or service areas be amended on reconsideration, the pioneer's preferences will be modified accordingly.")

Commission should reject Bell Atlantic's transparent attempt to derail PCS licensing.

The Paper Reduction Act of 1980 Does Not Bar the Filing of PCS Pioneer's Preference Applications

To buttress its anticompetitive attempt to delay the institution of PCS operations, Bell Atlantic urges that the Paperwork Reduction Act of 1980 bars PCS pioneer's preference applications. Bell Atlantic's contentions misread both the PRA's plain language and its purpose.

The PRA establishes certain requirements governing the "collection of information" by federal agencies. Section 3502(4) defines "collection of information" as

- ...the obtaining or soliciting of facts or opinions by an agency through the use of written report forms, application forms, schedules, questionnaires, reporting or recordkeeping requirements, or other similar methods calling for either
- (A) answers to identical questions posed to, or identical reporting or recordkeeping requirements imposed on, ten or more persons, other than agencies, instrumentalities, or employees of the United States; or
- (B) answers to questions posed to agencies, instrumentalities, or employees of the United States which are to be used for general statistical purposes.

Here, only three entities (none of which are governmental agencies) have been invited to file applications. Because the FCC's "collection of information" applies only to three persons, seven less than the PRA's jurisdictional floor, the PRA does not apply.

^{7/ 44} U.S.C. §§ 3500, et seq. ("PRA").

^{8/ 44} U.S.C. § 3502(4) (emphasis supplied). The statutory definition is confirmed in the PRA's implementing regulations, which define "collection of information" as "...the obtaining or soliciting of information by an agency from ten or more persons by means of identical questions, or identical reporting or recordkeeping requirements...". 5 C.F.R. § 1320.7(c).

In any event, the forms which the PCS pioneer's preference holders will use in submitting their applications (FCC Forms 155 and 401) have been approved by OMB so that, even if the PRA did apply, the requisite OMB approval is present.

The PRA is not intended as a means of thwarting agency operations; rather, it is designed to protect entities which are subject to agency jurisdiction. As the regulations state, the PRA "...is designed to minimize and control burdens associated with the collection of information by Federal Agencies..." 5 C.F.R. § 1320.1. This protective purpose is evident in the requirement that persons may not be penalized for failure to comply with collections of information which have not been approved by the Office of Management and Budget. 5 C.F.R. § 1320.5(a). The regulations do not, as Bell Atlantic implies, prohibit voluntary compliance with non-OMB approved collections of information.

In sum, the PRA does not by its terms apply to the three PCS applications invited by the <u>February Notice</u>. Nor does the PRA's stated purpose apply in these circumstances. Bell Atlantic's attempt to transform PRA's protective provisions into barriers to PCS application processing must therefore fail.

4. The PCS Pioneer's Preference Applications are not Premature and Prejudicial

Finally, Bell Atlantic argues that processing and acceptance of PCS pioneer's preference applications will prejudice other PCS operators and, in effect, grant the preference holders a "temporary service monopoly." Such complaints about an alleged monopoly ring particularly hollow when made by Bell Atlantic which itself has long enjoyed not merely a temporary but an enduring local telephone service monopoly, not to mention the monopoly benefits of its cellular wireline set-aside.

Additional information which the Commission may request from individual PCS pioneer's preference applicants would not be subject to OMB approval because "information" for PRA purposes excludes "a request for facts or opinions addressed to a single person" and "facts or opinions obtained or solicited through nonstandardized follow-up questions designed to clarify responses to approved collections of information." 5 C.F.R. §§ 1320.7(j)(6) and 1320.7(j)(9).

^{10/} Bell Atlantic's claim is particularly outrageous considering its position before the Commission and the Department (continued...)

Not only are Bell Atlantic's claims hollow, however; they are misplaced. The Commission already has fully addressed and resolved them. Bell Atlantic admits that, in adopting pioneer's preferences, the Commission expressly acknowledged that pioneer's preference holders could enjoy a "...de facto headstart that may occur due to the time it may take other entities to apply for and receive a license." Thus any de facto headstart for pioneers was determined by the Commission to be acceptable public policy and Bell Atlantic submits absolutely nothing to suggest the contrary.

Finally, it is nonsense for Bell Atlantic to claim that allowing preference holders to begin implementing their proposals will hamper the Commission in modifying PCS rules if necessary to serve the public interest. The Commission repeatedly has indicated that PCS pioneer's preference applications and authorizations would be subject to modification if required by subsequent rule changes.

Bell Atlantic's concerns about the possible advantages flowing from the <u>February Notice</u>'s filing invitation are private concerns which have no place in Commission regulatory decisions. The Commission has determined that the <u>public</u> interest would be

^{10/ (...}continued)
of Justice that PCS will provide competition to cellular, thereby
justifying relief from equal access and other restrictions placed
on Bell Atlantic and other Bell Operating Company affiliates
under the terms of the Modified Final Judgment.

Preference to Applicants Proposing an Allocation for New Services, GEN Docket No. 90-217, 6 FCC Rcd 3488 (1991) ("Pioneer's Preference Report"), recon., 7 FCC Rcd 1808 (1992), further recon. denied, 8 FCC Rcd 1659 (1993); see also, Notice of Proposed Rule Making, Review of the Pioneer's Preference Rules, ET Docket No. 93-266, 8 FCC Rcd 7692 (1993); First Report and Order, Review of the Pioneer's Preference Rules, 9 FCC Rcd 605 (1994).

^{12/} Bell Atlantic's claim that this represents a change in regulatory policy is thus in error. Moreover, Bell Atlantic's acceptance of its wireline cellular set-aside and headstart over its non-wireline competitors plainly are inconsistent with its position vis-a-vis PCS preference licenses.

^{13/} See February Notice and Third Report, supra, at par. 2.

served by granting pioneer's preference to Cox and two others. The Commission's solicitation of PCS pioneer's preference applications does no more than further the clear public interest in prompt implementation of the innovative services developed by the preference holders. The <u>February Notice</u> is the wrong forum for Bell Atlantic to reargue the general merits of the pioneer's preference concept or the particular merits of the three PCS pioneer's preference proposals.

5. Conclusion

Congress repeatedly has emphasized its strong interest in the development and implementation of new technologies in general and PCS in particular. Recognizing that PCS poses a threat to its longstanding monopoly, Bell Atlantic is now apparently grasping for any available means to thwart the implementation of a competing service. The contrived arguments of its March 16, 1994, letter afford no basis for delaying the public benefits of the new technology embodied in the PCS pioneer's preference proposals.

The Commission has broad authority to adopt procedures which it determines will serve the public interest. The <u>February Notice</u> represents a reasonable exercise of the Commission's administrative discretion. Bell Atlantic submits

^{14/} See Pioneer's Preference Report, 6 FCC Rcd at 3490 ("A new service or rule will not be granted unless we find that the overall public interest is served, and not just the special interest of an innovator or those who would be served by the innovator's proposal.")

^{15/} See, e.g., 47 U.S.C. § 157.

^{16/} See n. 2, supra.

^{17/} It is well established that administrative agencies are masters of their own houses and are free to fashion procedures which are optimally conducive to implementing their statutory mandates. See, e.g., Mobil Oil Exploration & Producing Southeast, Inc. v. United Distribution Companies, 111 S. Ct. 615 (1991); FCC v. Schreiber, 381 U.S. 279 (1965); Amcor, Inc. v. Brock, 780 F.2d 897 (11th Cir. 1986); Katzson Bros., Inc. v. United States, 839 F.2d 1396 (10th Cir. 1988); Frazier v. Merit System Protection Board, 672 F.2d 150 (D.C. Cir. 1982); Seacoast Anti-Pollution League v. Costle, 597 F.2d 306 (1st Cir. 1979); Natural Resources Defense Council v. SEC, 606 F.2d 1031 (D.C. Cir. 1979).

nothing to warrant the <u>February Notice</u>'s rescission or modification. Its request for such action must be denied.

Respectfully submitted,

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cc: Parties Listed on the Attached Service List

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I, Pamela Marie DuBost, hereby certify that today on this 31st day of March, 1994, I caused copies of the foregoing letter to be served by first-class mail, postage prepaid to the following:

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